

Approved by AICTE, New Delhi & Affiliated to Anna University, Chennai Accredited by NAAC with 'B+' Grade, 2(f) & 12B Status Institution by UGC

IG Valley, Madurai Main Road, Manikandam, Tiruchirappalli - 620012

# NAAC APPEAL DOCUMENTS

**QUALITY INDICATOR FRAME WORK** 

# CRITERION – 3

# RESEARCH, INNOVATIONS AND EXTENSION

SUBMITTED BY

IQAC INTERNAL QUALITY ASSURANCE CELL INDRA GANESAN COLLEGE OF ENGINEERING



# **HEI Appeal Statement**

## Detailed report on the various values recommended by the respected DVV partner in the metric ID 3.1.1 on different dates

Metric Id	HEI input as per SSR with all supporting Documents	Findings of DVV	HEI Response to the DVV findings	Recommended Input by DVV partner	Remarks by DVV partner	Status recorded date by DVV partner	Discrepancy in the Remarks Identified as per the current status of DVV	Request from HEI to NAAC Appeal Committee members
3.1.1	Year Value   22-23 8.319   21-22 6.238   20-21 5.60   19-20 6.69   18-19 7.185	Please provide a list of research project grants received in the assessment years. Include details about the award type, the agency that granted it,	Respected and Honourable DVV partner, As per DVV Clarifications received, the following documents are submitted in supporting document for the metric 3.1.1 under criteria-3 as per SSR for your kind perusal. • HEI provided the list of 75 endowments received in the form of consultancy services offered by Institution for the assessment periods from 2018-19 to 2022-23 under link number 01 in the supporting document with the total sanctioned amount of Rs. 34.03 Lakhs for your kind perusal and also included the award type, the agency that granted endowments with the amount sanctioned approval letters and highlighted the information for the following number of endowments	Year Value   22-23 0   21-22 0   20-21 0   19-20 0   18-19 0	DVV has made necessary changes as per supporting document shared by HEI and input value "0" has been considered as research projects were related to consultancy and no audit report has been shared by HEI	12.07.2024	consultancy work carried out by the Institution and this endowment amount is reflected in the income side of Audit statement for the assessment periods.	HEI request the Respected and Honourable Appeal committee members to consider the endowment received from the non-government agencies towards the consultancy work carried out by the Institution. HEI also mentioned this endowment amount in the Audit statement for the assessment periods.
		and the amount. Provide links to electronic copies of the approval letters also, provide the approval letters with the relevant information highlighted.	received in each year with total amount sanctioned in the above said link for your kind consideration. • During 2022-23, 15 endowments received with total sanctioned amount of Rs. 8,31,900. • During 2021-22, 15 endowments received with total sanctioned amount of Rs. 6,23,800. • During 2020-21, 12 endowments received with total sanctioned amount of Rs. 5,60,000. • During 2019-20, 15 endowments received with total sanctioned amount of Rs. 6,69,650. • During 2018-19, 18 endowments received with total sanctioned amount of Rs. 7,18,540.	Year Value   22-23 8.25   21-22 6.19   20-21 5.54   19-20 6.64   18-19 7.05	DVV has made changes as per supportings shared by HEI and values have been downgraded as we have excluded grants less than 5 days.	15.05.2024		



#### **Request from HEI to the Respected and Honourable NAAC Appeal Committee members:**

- HEI claimed the endowment received from non-government agencies towards the consultancy work carried out by the Institution and this endowment amount was reflected in the income part of Audit statement for the assessment periods.
- In the DVV process, two different values were recorded by the respected DVV partner on 15.05.2024 and 12.07.2024 and finally recommended Zero by mentioning "due to research projects were related to consultancy".
- HEI have not claimed any research grants in this metric. Moreover the endowment amount claimed was reflected in the income part of Institutional audit statement in every assessment year. This is not observed and verified by the respected DVV partner.
- Hence, HEI request the Respected and Honourable Appeal committee members to consider the endowment received from the non-government agencies which was reflected in the income part of Institutional audit statement.

Marks Awarded by DVV partner – level 0

Marks Expected from HEI – level 4

### Kind Reference to the Respected and Honourable NAAC Appeal Committee members:

 ✓ HEI elevated and registered complaints 4 times regarding the ambiguity in the DVV process through online NAAC support / Help Desk and finally sent a request letter and Email to the NAAC Chairman to resolve the ambiguity in the recommended DVV values on 07.08.2024 and those details are mentioned below for your kind perusal and consideration.

Reference - 1: Issue raised with an ID 2406011505 dated 01.06.2024 through NAAC support/help desk

Reply of Ref-1 from NAAC: "The DVV process is completed in view of the clarifications received and as per SOP and no changes are possible now" on 04.06.2024



Reference - 2: Issue raised with an ID 2406131708 dated 13.06.2024 through NAAC support/help desk

Reply of Ref-2 from NAAC: Dear Sir/Madam, The DVV process of your college is completed; hence changes are not possible now" on 26.06.2024

Reference-3: Issue raised with an ID 2407151706 dated 15.07.2024 through NAAC support/help desk

Reply of Ref-3 from NAAC: **"The dvv process is completed for your college and verification is in view of SOP and NAAC** guidelines " on 19.07.2024

Reference-4: Issue raised with an ID 2407261606 dated 26.07.2024 through NAAC support/help desk

Reply of Ref-4 from NAAC: **"The dvv process is completed for your college and verification is in view of SOP and NAAC guidelines" on 31.07.2024** 

Reference-5 : Sent a request letter through IMS and Email to the NAAC Chairman regarding to resolve the ambiguity in the recommended DVV values on 07.08.2024

Reply of Ref-4 from NAAC: Yet to receive the reply.

SUPPORTING DOCUMENTS

The supporting documents pertaining to this metric 3.1.1 exceeds 5 Mb data hence the supporting document was uploaded in the Institution website. Please click the below link to download the supporting document as shown from the page number 07 onwards



Dr. G. Balakrishnan, M.E. Ph.D., Principal

# Indra Ganesan

Approved by AICTE, New Delhi & Affiliated to Anna University, Chennai Accredited by NAAC with '8+' Grade, 2(f) & 128 Status Institution by UGC

IG Valley, Madurai Main Road, Manikandam, Tiruchirappalli - 620 012

### TO WHOMSOEVER IT MAY CONCERN

This is to certify that the following are the grants received from Non Government agencies in the form of endowments (project development work, consultancy work) during the last five years (INR in Lakhs).

Academic Year	2022-23	2021-22	2020-21	2019-20	2018-19
Number of endowments received	15	15	12	15	18
Amount received per year (INR in Lakhs)	8.31	6.23	5.60	6.69	7.18
Total amount received (INR in Lakhs)			34.03		

Principal Dr. G. Balakrishnan, M.E., Ph.D., Principal Indra Ganesan College of Engineering IG Valley, Madurai Main Road Manikandam, Trichy-620 012.



Criteria 3

**Research, Innovations and Extension** 

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# **HEI Appeal Statement**

## Key Indicator - 3.1 Resource Mobilization for Research

**3.1.1. Grants received from Government and non-governmental agencies for research projects / endowments in the institution during the last five years (INR in Lakhs)** 

## **HEI Response:**

Respected and Honorable Appeal Committee Members, As per DVV Clarifications received, the following documents are submitted in supporting document for the metric 3.1.1 under criteria-3 as per SSR for your kind perusal.

- HEI provided the list of 75 endowments received in the form of consultancy services offered by document with the total sanctioned amount of Rs. 34.03 Lakhs for your kind perusal and also included the award type, the agency that granted endowments with the amount sanctioned approval letters and highlighted the information for the following number of endowments received in each year with total amount sanctioned in the above said link for your kind consideration.
  - During 2022-23, 15 endowments received with total sanctioned amount of Rs. 8,31,900.
  - During 2021-22, 15 endowments received with total sanctioned amount of Rs. 6,23,800.
  - During 2020-21, 12 endowments received with total sanctioned amount of Rs. 5,60,000.
  - During 2019-20, 15 endowments received with total sanctioned amount of Rs. 6,69,650.
  - During 2018-19, 18 endowments received with total sanctioned amount of Rs. 7,18,540



# **Supporting Documents**

Particulars	Academic Year	Amount (in Lakhs)
	2022-23	8.31
Grants received in the form of	2021-22	6.23
Endowments in the institution	2020-21	5.60
during the last five years (INR in	2019-20	6.69
Lakhs)	2018-19	7.18
	Total	34.03

S.No	Particulars	Link
	HEI provided the list of 75 endowments received in the	
	form of consultancy services offered by Institution for	
	the assessment periods from 2018-19 to 2022-23 with	
1	the total sanctioned amount of Rs. 34.03 Lakhs for your	View
	kind perusal and also included the award type, the	
	agency that granted endowments with the amount	
	sanctioned approval letters	
2	Audit Statement highlighting the Endowment received	View